

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,148	12/01/2000	Stephen Huxter	25350-703

CONFIRMATION NO. 2561

## FORMALITIES LETTER



\*OC000000005694736\*

021971

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 01/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/02/2001 HABB11 00000095 232415 09729148

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH  
02 FC:202 400.00 CH  
03 FC:203 630.00 CH  
~~04 FC:204 25.00 CH~~  
05 FC:205 65.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2060.
  - \$1260 for 70 total claims over 20.
  - \$800 for 10 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2900.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

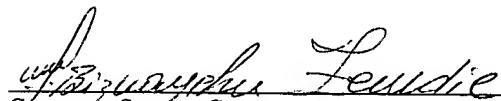
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25.00 CR

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

\_\_\_\_\_

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03-30-01  
01PE  
MAIL DATE CANCELLED  
MAR 30 2001  
PRACTITIONER'S DOCKET NO. 2535-03

Patent \$  
#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Huxter

Group No.: 2165

Serial No.: 09/729,148

Examiner: Not Assigned

Filed: December 1, 2000

For: SYSTEM AND METHOD FOR FACILITATING RECEIPT AND COLLECTION OF GOODS ORDERED FROM  
ONLINE RETAILERS

Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 01/22/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

CLAIM OF SMALL ENTITY STATUS

- IV. Applicant claims small entity status. See 37 CFR 1.27.

SUBMISSION OF SUBSTITUTE DRAWINGS

- V. Applicant submits drawings (24 sheets) in compliance with 37 CFR 1.84.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$630.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$400.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET").	\$40.00
Total completion fees	\$1,490.00

EXTENSION OF TIME

- VI. Applicant requests an extension of time of 1 month.  
Fee Due: \$55.00

REQUEST FOR CORRECTED FILING RECEIPT

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

Correct data

- |                                    |                                        |
|------------------------------------|----------------------------------------|
| 1. Applicant name and/or addresses | Stephen Huxter, --MOUNTAIN VIEW, CA.-- |
| 2. Entity status                   | --SMALL ENTITY--                       |

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VIII. The total fee due is: Completion fees \$1,490  
Corrected Filing Receipt Fees \$25

Extension of Time Fees \$55

TOTAL FEE DUE \$1,570

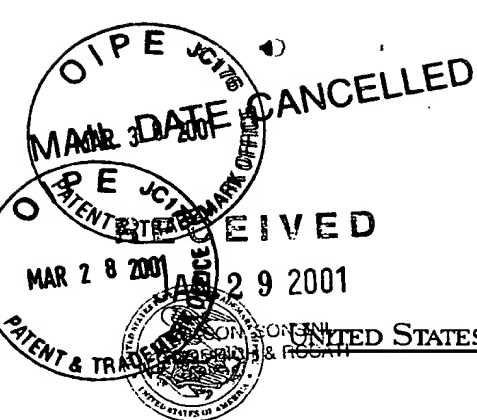
PAYMENT OF FEES

- IX. Charge Account No. 23-2415 (25350-703) in the amount of \$1,570.  
Please charge Account No. 23-2415 (25350-703) for any fees that may be due by this paper.

04/02/2001 MABDI1 00000095 232415 09729148  
05 FC:215 55.00 CH

  
Shaalu Mehra, Reg. No. 44,934

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EYEX.COM  
Page 1 of 4  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/729,148	12/01/2000	2165	0	25350-703	23	90	13

CONFIRMATION NO. 2561

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FILING RECEIPT



\*OC00000005694735\*

DOCKETED

Date Mailed: 01/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stephen Huxter, [Residence Not Provided;] MOUNTAIN VIEW, CA.

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/12/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

SMALL ENTITY

Title

System and method for facilitating receipt and collection of goods ordered from online retailers

Preliminary Class

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